PTO/SB/08a (08-03.)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number		09955048	
Filing Date		2001-09-19	
First Named Inventor Takat		ahiro NAKA	
Art Unit	•	2876	
Examiner Name	Lisa I	M. Caputo	
Attorney Docket Num	ber	Q66292	
	Filing Date First Named Inventor Art Unit Examiner Name	Filing Date First Named Inventor Taka Art Unit	Filing Date         2001-09-19           First Named Inventor         Takahiro NAKA           Art Unit         2876           Examiner Name         Lisa M. Caputo

					U.S.	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date Name of Patenter of cited Documen		entee or Applicant iment	Relev	s,Columns,Lines where vant Passages or Relev es Appear		
	1	5819126	A	1998-10	)-06	KITAGAWA, et al.				
	2	4975647		1990-12	2-04	DOWNER, et a	al.			
If you wis	h to a	dd additional U.S. Pate	nt citatio	n inform	ation pl	ease click the	Add button.			
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wisl	h to a	ldd additional U.S. Publi	shed Ap	plication	citatio	լ n information բ	olease click the Add	d butto	on.	
				FOREIG	SN PAT	ENT DOCUM	ENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j		Kind Code4	Publication Date	Name of Patented Applicant of cited Document		Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	т5
	1	1020813	EP	ΕP		2000-07-19	INTERNATIONAL BUSINESS MACHI CORPORATION	NES		
	2	10324405	JP		А	1998-12-08	DENSO CORP;			

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

2000233808

JΡ

Α

3

Application Number		09955048			
Filing Date		2001-09-19			
First Named Inventor	Takal	Takahiro NAKA			
Art Unit		2876			
Examiner Name	Lisa N	M. Caputo			
Attorney Docket Numb	er	Q66292			

MATSUMOTO

MASATAKA

If you wis	h to a	dd add	litional Foreign Patent Document citation information please	e click the Add buttor	ו		
			NON-PATENT LITERATURE DOCUM	MENTS			
Examiner Initials*	Cite No	(hook magazine jojirna) serial symposium catalog etc) date pages(s) volume_issue number(s)					
	1						
If you wis	h to a	dd add	ditional non-patent literature document citation information p	lease click the Add t	outton		
			EXAMINER SIGNATURE				
Examiner	Signa	ture		Date Considered			
			reference considered, whether or not citation is in conformation rmance and not considered. Include copy of this form with		-		
Standard ST 4 Kind of do	Γ.3). <sup>3</sup> F cument	or Japa by the a	O Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter officeness patent documents, the indication of the year of the reign of the Empappropriate symbols as indicated on the document under WIPO Standard in is attached.	eror must precede the ser	ial number of the patent doo	cument.	

2000-08-29

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		09955048			
Filing Date		2001-09-19			
First Named Inventor	Takal	Takahiro NAKA			
Art Unit		2876			
Examiner Name	Lisa N	Lisa M. Caputo			
Attorney Docket Number		Q66292			

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
X	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	t							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached certification statement.							
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
	None					<b>-</b>		
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the								
form of the signature.								
Sig	nature	14LW				Date (YYYY-MM-DD)	2006-06-13	
Nar	ne/Print	70 0	Kelly	G.	Hyndra	Registration Number	39,234	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.